

# Performance Audit Risk Assessment Rating Scales

## Factors

### (1) FINANCIAL

#### PART A: Budget

0	≤	550,000
5	550,001	1,000,000
10	1,000,001	2,000,000
20	>	2,000,000

### (2) MEASURES

#### PART A: Number of Measures and Activities

- 0 Between 0 and 5
- 1 Between 6 and 10
- 2 Between 11 and 20
- 3 Between 21 and 30
- 4 Between 31 and 40
- 5 Between 41 and 50
- 6 Between 51 and 60
- 7 Between 61 and 70
- 8 Between 71 and 80
- 9 Between 81 and 90
- 10 Over 90

### (3) MANAGEMENT CONTROL FACTORS

#### Part A: Percent of Staff Turnover to Number of Budgeted Positions (2 years)

- 0 Less than 1%
- 2 Greater than 1% but less than 10%
- 4 Greater than 10% but less than 20%
- 6 Greater than 20% but less than 30%
- 8 Greater than 30% but less than 50%
- 10 Greater than 50%

#### Part B: Percent of Open Positions to Number of Budgeted Positions (2 years)

- 0 Less than 1%
- 2 Greater than 1% but less than or equal to 10%
- 4 Greater than 10% but less than or equal to 20%
- 6 Greater than 20% but less than or equal to 30%
- 8 Greater than 30% but less than or equal to 50%
- 10 Greater than 50%

### (4) VISIBILITY

#### PART A: Visibility of Program

- 0 Internal - No Direct Public Service
- 8 \* Direct service to small population of self-selecting clients; with
  - \* No service provided visible to the general public;
  - \* Low to Medium strategic importance to City Operations
- 14 \* Direct service to medium population of self-selecting clients;
  - \* An indirect service that some residents may view (but not use) and may complain to Council if quality is lacking; or
  - \* An internal program with strategic importance that may or may not receive media attention if quality was lacking.
- 20 \* Direct service to all residents;
  - \* A direct service to a large population of self-selecting residents;
  - \* An indirect service that all residents view daily and may complain to Council if quality is lacking;
  - \* A direct service to any size population (large or small) that is strategically important to City operations; or
  - \* A strategically important internal program that would receive media attention if quality was lacking.

#### PART B: Audit Requested by City Management or Council

- 0 No
- 50 Yes